



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2122

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Technology Center 2100

In re application of: **Tapperson**

Serial No.: **09/844,340**

Filed: **April 27, 2001**

For: **Mechanism to Cache References  
to Java RMI Remote Objects  
Implementing the Unreferenced  
Interface**

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Group Art Unit: **2122**

Examiner: **Unknown**

Attorney Docket No.: **AUS920010051US1**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on 08-09-01

By: Krista Douthitt

Krista Douthitt

**TRANSMITTAL DOCUMENT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

ENCLOSED HERewith:

- Information Disclosure Statement;
- Form PTO-1449;
- References AA-AC; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Duke W. Yee

Registration No. 34,285

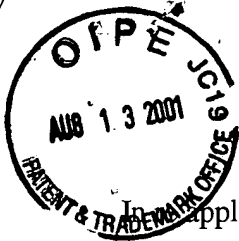
CARSTENS, YEE & CAHOON, LLP

P.O. Box 802334

Dallas, Texas 75380

(972) 367-2001

ATTORNEY FOR APPLICANT



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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.


Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

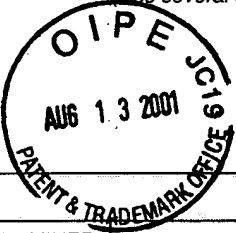
In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: 2/9/01

  
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Duke W. Yee  
*Registration No. 34,285*  
**CARSTENS, YEE & CAHOON, LLP**  
P.O. Box 802334  
Dallas, Texas 75380  
(972) 367-2001

<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>AUS920010051US1</b>		SERIAL NO. <b>09/844,340</b>	
			APPLICANT <b>Tapperson</b>			
			FILING DATE <b>April 27, 2001</b>		GROUP ART UNIT <b>2122</b>	
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE	
	AA 6035324	Mar. 7, 2000	Chang et al.	709/203	Aug. 28, 1997	
	AB 6070184	May 30, 2000	Blount et al.	709/200	Aug. 28, 1997	
	AC 6134603	Oct. 17, 2000	Jones et al.	709/330	Mar. 20, 1998	
<div style="border: 1px solid black; padding: 10px; width: fit-content; margin: auto;"> <b>RECEIVED</b>  <b>AUG 15 2001</b>  <b>Technology Center 2100</b> </div>						
<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>						
DATE CONSIDERED			EXAMINER			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						